

01-08-09

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10/26/01Please type a plus sign (+) inside this box →

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PTO/SB/05 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status.
See 37 CFR 1.27.

3. Specification [Total Pages 4]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113) [Total Sheets 1]

5. Oath or Declaration [Total Pages 5]
 a. Newly executed (original or copy)
 b. A Copy from a prior application (37 CFR 1.63 (d))
 (for continuation/divisional with Box 18 completed)
 i. A **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s)
 named in the prior application, see 37 CFR
 1.63(d)(2) and 1.33(b).

6. Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP) of prior application No. _____

Prior application information: Examiner _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

| | | | |
|--|---|-----------|---|
| <input type="checkbox"/> Customer Number or Bar Code Label | Insert Customer No. or Attach Bar Code Label Here | | or <input checked="" type="checkbox"/> Correspondence address below |
| Name | Mark Lumpkin | | |
| | 7213 Teal | | |
| Address | | | |
| City | St. Louis | State | MO |
| Country | USA | Telephone | 314-727-5441 |
| Zip Code | 63133 | | |
| Fax | 432-0513 | | |

| | | | |
|-------------------|---|-----------------------------------|---------------|
| Name (Print/Type) | Mark Lumpkin | Registration No. (Attorney/Agent) | N/A |
| Signature |  | | Date 10/25/01 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 370.00)

Complete if Known

| | |
|----------------------|--------------|
| Application Number | |
| Filing Date | |
| First Named Inventor | Mark Lumpkin |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No. | |

METHOD OF PAYMENT

1. A The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

| | |
|------------------------|-----|
| Deposit Account Number | N/A |
| Deposit Account Name | N/A |

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Description | Fee Paid |
|--|---------------|---|-------------------|
| Fee Code (\$) | Fee Code (\$) | Fee | |
| 105 | 130 | 205 65 Surcharge - late filing fee or oath | N/A |
| 127 | 50 | 227 25 Surcharge - late provisional filing fee or cover sheet | N/A |
| 139 | 130 | 139 130 Non-English specification | N/A |
| 147 | 2,520 | 147 2,520 For filing a request for ex parte reexamination | N/A |
| 112 | 920* | 112 920* Requesting publication of SIR prior to Examiner action | N/A |
| 113 | 1,840* | 113 1,840* Requesting publication of SIR after Examiner action | N/A |
| 115 | 110 | 215 55 Extension for reply within first month | N/A |
| 116 | 400 | 216 200 Extension for reply within second month | N/A |
| 117 | 920 | 217 460 Extension for reply within third month | N/A |
| 118 | 1,440 | 218 720 Extension for reply within fourth month | N/A |
| 128 | 1,960 | 228 980 Extension for reply within fifth month | N/A |
| 119 | 320 | 219 160 Notice of Appeal | N/A |
| 120 | 320 | 220 160 Filing a brief in support of an appeal | N/A |
| 121 | 280 | 221 140 Request for oral hearing | N/A |
| 138 | 1,510 | 138 1,510 Petition to institute a public use proceeding | N/A |
| 140 | 110 | 240 55 Petition to revive - unavoidable | N/A |
| 141 | 1,280 | 241 640 Petition to revive - unintentional | N/A |
| 142 | 1,280 | 242 640 Utility issue fee (or reissue) | N/A |
| 143 | 460 | 243 230 Design issue fee | N/A |
| 144 | 620 | 244 310 Plant issue fee | N/A |
| 122 | 130 | 122 130 Petitions to the Commissioner | N/A |
| 123 | 50 | 123 50 Processing fee under 37 CFR 1.17(q) | N/A |
| 126 | 180 | 126 180 Submission of Information Disclosure Stmt | N/A |
| 581 | 40 | 581 40 Recording each patent assignment per property (times number of properties) | N/A |
| 146 | 740 | 246 370 Filing a submission after final rejection (37 CFR § 1.129(a)) | N/A |
| 149 | 740 | 249 370 For each additional invention to be examined (37 CFR § 1.129(b)) | N/A |
| 179 | 740 | 279 370 Request for Continued Examination (RCE) | N/A |
| 169 | 900 | 169 900 Request for expedited examination of a design application | N/A |
| Other fee (specify) <input type="text"/> N/A | | | N/A |
| *Reduced by Basic Filing Fee Paid | | | SUBTOTAL (3) (\$) |
| | | | N/A |

*or number previously paid, if greater; For Reissues, see above

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

N/A

SUBMITTED BY

Complete if applicable

| | | | | | |
|-------------------|--------------|-----------------------------------|-----|-----------|--------------|
| Name (Print/Type) | Mark Lumpkin | Registration No. (Attorney/Agent) | N/A | Telephone | 314-727-5441 |
| Signature | | | | Date | 10-25-01 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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PTO/SB/21 (08-00)

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TRANSMITTAL FORM

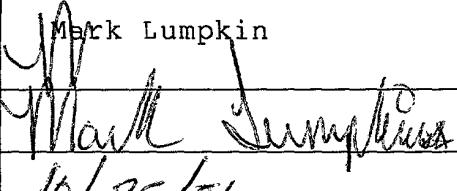
(to be used for all correspondence after initial filing)

| | | | |
|--|---|------------------------|--------------|
| | | Application Number | |
| | | Filing Date | |
| | | First Named Inventor | Mark Lumpkin |
| | | Group Art Unit | |
| | | Examiner Name | |
| Total Number of Pages in This Submission | 7 | Attorney Docket Number | |

ENCLOSURES (check all that apply)

| | | |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication |
| <input checked="" type="checkbox"/> Fee Attached | <input checked="" type="checkbox"/> Drawing(s) | <input type="checkbox"/> A-to Group |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> of Appeals and Interferences |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Appeal Communication to Group |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> ACD, Number of CD(s) _____ | <input type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | Remarks | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | N/A | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------------|---|
| Firm or Individual name | Mark Lumpkin |
| Signature |  |
| Date | 10/25/01 |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

10/26/01

| | |
|-----------------------|---|
| Typed or printed name | Mark Lumpkin |
| Signature |  |
| Date | 10-25-01 |

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